

670 Rec'd PTO 16 JAN 2002

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER  
32286R009  
U.S. APPLICATION NO. (if known,  
see 37 CFR 1.5)  
**10/030977**

INTERNATIONAL APPLICATION NO. PCT/BR00/00077	INTERNATIONAL FILING DATE July 14, 2000	PRIORITY DATE CLAIMED July 16, 1999
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TITLE OF INVENTION  
COMPOSITION FOR COSMETIC OR PHARMACEUTICAL USE

APPLICANT(S) FOR DO/EO/US -- Roberto Alcantara Martins Zucchetti, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I)
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))  
a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau) b. ☐ has been transmitted by the International Bureau (see Form 308) c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2))
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  
a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau)  
b. ☐ have been transmitted by the International Bureau  
c. ☐ have not been made, however, the time limit for making such amendments has NOT expired.  
d. ☐ have not been made and will not be made
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5))

**Items 11. to 16. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (w/ copy of PTO-1449 and each reference cited therein and Int'l Search Rept)
12. ☐ An assignment document for recording A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment  
☐ A SECOND or SUBSEQUENT preliminary amendment
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter
16. ☒ Other items or information

- a) PCT Request (Form PCT/RO/101)
- b) Notification of Transmittal of the International Search Report or the Declaration (PCT/ISA/220);
- c) International Search Report (PCT/ISA/210),
- d) Notification of Transmittal of the International Preliminary Examination Report (PCT/IPEA/416),
- e) International Preliminary Examination Report (PCT/IPEA/409) **including the amended claim set to be prosecuted;**
- f) PCT Publ. WO 01/05357 with Search Report
- g) PCT Written Opinion (Form PCT/IPEA/408)
- h) Answer to Written Opinion dated September 13, 2001

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17. ☒ The following fees are submitted:

CALCULATION PTO USE ONLY

**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO ..... \$860 00  
International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$670 00  
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$760.00  
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$970.00  
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$96 00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$860 00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.495(e))

\$ -

Claims	Number Filed	Number Extra	Rate		
Total Claims	21 - 20 =	1	x \$18 00	\$ 18 00	
Independent Claims	2 - 3 =	-	x \$80.00	\$ -	
Multiple dependent claim(s) (if applicable)			+ \$260 00	-	

TOTAL OF ABOVE CALCULATIONS =

\$ 878.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)

\$ 0.00

SUBTOTAL =

\$ 878 00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ -

TOTAL NATIONAL FEE =

\$ 878 00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40 00 per property.

\$ 0 00

TOTAL FEES ENCLOSED =

\$ 878 00

Amount to be refunded

\$

charged

\$

- a. ☒ A check in the amount of \$ 878 00 to cover the above fees is enclosed.  
b. ☐ Please charge my Deposit Account No. 02-4300 in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.  
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required with respect to any deficiency in the above noted "Basic National Fee", or credit any overpayment to Deposit Account No. 02-4300.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO

SMITH, GAMBRELL & RUSSELL, LLP  
1850 M Street, NW - Suite 800  
Washington, DC 20036

Tel: (202) 659-2811  
Fax: (202) 659-1462

SIGNATURE

Dennis C. Rodgers - 32,936

NAME REGISTRATION NO

Date: January 16, 2002